Adirondack Health Institute

Lead Empower Innovate

POLICY AND PROCEDURE

Title: Health Home Outreach and Engagement

Department: Health Home

Intended Population: Health Home Serving Adults and Children

Effective Date: 9/21/2015

Date Revised: 5/17/2019, 7/1/2020, 11/1/2022,12/1/2023

Health Home Standard: B5, F3a, F3b, F5a

DOH Guidance: Outreach Guidance

Purpose of Policy
To describe the process and time frames for Health Home outreach services.

Scope

- This policy must be distributed to all AHI Health Home Program staff and all subcontracting Health Home Service Providers.
- All recipients of this policy must acknowledge their receipt and understanding of the policy by referring any questions, problems, or compliance issues with the policy within ten days of the issue date to the AHI's Health Home Assistant Director.
- All questions regarding this policy or its implementation may be directed to the Assistant Director, Care Management and Health Home.

Statement of Policy

AHI shall develop, disseminate, and review at least annually a Health Home Outreach & Engagement Policy that addresses purpose, scope, roles and responsibilities, management commitment, coordination among organization entities, and compliance. AHI shall formalize documented procedures to facilitate the implementation of the Health Home Outreach & Engagement Policy.

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Definitions

Health Home Participant: A Health Home Candidate who is participating in the AHI Health Home and is assigned to an AHI Health Home Services Provider for Care Management by AHI.

Health Home Candidate: An individual who has not yet agreed to care management within AHI Health Home and is assigned to an AHI Health Home Services Provider for outreach and engagement.

Health Home Service Provider: An Organization that has a fully executed contract (the "Health Home Services Provider Agreement") with the Adirondack Health Institute to provide health home outreach and/ or care management services.

MAPP: Medicaid Analytics Performance Portal.

Care Management Record System: A structed information system, maintained by the Adirondack Health Institute Health Home (AHIHH), and made available for Health Home Service Providers and Health Home Network Partners to utilize, as applicable and appropriate to their role in the Health Home.

Confirmed Current address: An address that has been verified by either

- The prospective member/ member's parent or guardian
- A family member
- A current provider

Health Home Core Services:

1. Comprehensive Care Management
2. Care Coordination and Health Promotion
3. Comprehensive Transitional Care
4. Patient and Family Support
5. Referral to Community and Social Services
6. Use of Health Information Technology (HIT) to link to services *

* The use of HIT to link to services in not a billable activity

Purpose of Policy

The Health Home Outreach & Engagement Policy at AHI is intended to facilitate the effective implementation of the processes necessary to meet the Health Home Program standards and requirements as stipulated by the NYS DOH, federal regulations, and best practices. This policy directs that AHI meet these requirements.

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Procedure

Health Home Service Providers may begin providing outreach services to referrals upon entry to the Care Management Record System. Community referrals may be sent via MAPP in the case of children, and via means outside of MAPP for children and adults. Health Home outreach is no longer a billable service effective 7/1/2020. As of 7/1/2020 an Outreach Billing Questionnaire will no longer be required.

Referral sources must attest that a verbal consent was provided by the individual (if 18 or over) or his/her parent or guardian (if under the age of 18, except for those under the age of 18 and self-consenting) to allow the individual / guardian to be contacted by a Health Home Service Provider to share information about enrolling in the Health Home.

- If an individual was referred to the Health Home and is unable to be reached, the referral source should be contacted to assist with connection and engagement.
- The MCO and/or the Health Home can be contacted to help assist the Health Home Service Provider with locating/reaching the member.
- The Health Home Service Provider may continue providing outreach services to candidates after the Outreach segment has ended in MAPP.
- The purpose of Outreach is to locate the Health Home Candidate, the parent or legal guardian: explain the services available to them under the Health Home program, answer any questions, and engage the person in active care management.
- Health Home candidates who are homeless require an additional level of intensity for outreach and engagement. If an individual is found to be, or suspected to be, homeless, the Care Manager shall conduct outreach attempts at local shelters, hotels which offer temporary housing, food pantries, soup kitchens, and other potential resources which a homeless person may utilize.
- Due to privacy issues, the shelter may not be able to release information to the outreaching care manager, however the outreaching care manager can relay the information about the program in the hope that the staff can recommend this service to the potential enrollee to self-refer.
- The Health Home Service Provider will document all outreach activities in the members care management record.
- The record will include a description of the activity / service provided, the date of service and the type of contact (face to face, telephone, mail, e-mail).
- The HHSP may contact AHIHH to request additional or updated contact information for a prospective Health Home participant who is enrolled in a Medicaid Managed Care Plan (HARP [Health and Recovery Plan] or non-HARP). AHI Health Home will reach out to the Managed Care Organization within 48 hours via a secure method to obtain this information and will share it with the HHSP within 48 hours of receiving the information back from the Managed Care Plan.
- The Outreach period ends, and Active Care Management begins when all of the following have occurred and are documented by the Health Home Service Provider in the client record:

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- The Health Home program has been explained to the candidate and/or parent or legal guardian as applicable.
- The candidate has agreed to take part in the program. In the case of a child, the child's parent or guardian has agreed on behalf of the child and has signed the DOH- 5201 consent form. In the case of an adult, the members have agreed to enroll and has signed the DOH 5055 consent form. HHSP's must assure that Information Sharing Consent Forms include, at minimum; The name of the HHSP, the member's Medicaid Managed Care Plan (MMCP), if applicable, and a primary care physician and/or healthcare provider from whom the member receives the majority of his/her care (e.g., mental health, substance use, etc.) reflective of the chronic conditions the member was enrolled in Health Home program.

- Should two months of outreach efforts not be successful the Health Home Service Provider will end active outreach unless the HHSP has actionable Information and believes the candidate has potential for immediate enrollment.
- Actionable Information is defined as Information that supports face to face contact and engagement of an individual.
    - Members assigned by Managed Care Plans
    - Community based referrals
    - An alert or notification that a member has been located in a shelter, jail, or other institution.
    - Member has been located and agrees to meet with HHCM
    - An appointment to meet with a HHCM has been set up by referent
    - New information such as a new phone number or address that would increase the likelihood of a face-to-face contact.
- AHI will reassign the prospective member should actionable information become available for the member or keep the member in a disenrolled status.
- If a Health Home Service Provider does not have the capacity to re-engage in outreach at the time at which the prospective Health Home client again becomes eligible through actionable information, the Health Home Service Provider shall notify AHI within 2 business days. AHI may, depending on capacity, reassign those prospective members to another Health Home Service Provider.
- In the case of an adult or self-consenting child, should a Health Home Service Provider who has conducted outreach efforts to someone who has been referred and no contact in Month One or Two of Outreach, the Health Home Service Provider should disenroll the individual with the reason code "inability to contact / locate individual". In the case of Self-Consenting Children under the age of 18 the Health Home Service Provider must notify the referral source of the outcome of Outreach.
- In the case of a child, should a Health Home Service Provider who has conducted outreach efforts to child/youth and/or Legal Authorized Representative who has been referred and no

contact in Month One or Two of Outreach, the Health Home Service Provider should disenroll the individual with the reason code "inability to contact / locate individual". The referral source must be notified of the outcome of Outreach.

- Any member who a Health Home Service Provider has conducted Outreach for and through the Outreach process with the member has been found to not meet the Health Home Eligibility the DOH-5236 must be issued to that member and documented in the members care management record.

Prospective Health Home enrollees who have a history of abusive or inappropriate behavior may be entitled to receive Health Home Care Management but may have expectations carefully reviewed with him/ her before the individual makes the decision to enroll. This may be provided to the prospective enrollee via a document both the prospective enrollee and Care Manager Supervisor sign.

These expectations may include:

- A warning about how abusive or inappropriate behaviors may result in termination of Health Home Care Management Services.
- An outline of Health Home Enrollee's responsibilities including but not limited to:
    - Scheduling one's own transportation, medical appointments, and other appointments (as appropriate based on enrollee's capabilities. Support may be provided by Care Manager to facilitate enrollee's independence in these areas.)
    - Planning for necessary activities such as grocery shopping (as appropriate based on enrollee's capabilities. Support may be provided by Care Manager to facilitate enrollee's independence in these areas.)
    - Following up on medical and behavioral health professionals' directives, with assistance from Care Manager
    - Applying for needed social services (as appropriate based on enrollee's capabilities. Support may be provided by Care Manager to facilitate enrollee's independence in these areas.)
    - Showing up to scheduled appointments with the Care Manager on time or calling the Care Manager to cancel
    - Establishing goals for oneself in any area including medical health, behavioral health, or social
    - Working towards goals that the enrollee has set for him/ herself

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Quality and Performance Improvement

AHI HH will select a random sample of records on an annual basis. Records will be reviewed to ensure that outreach activities were appropriate and adhered to standard practice. HHSP's will receive feedback regarding the results as part of their annual assessment.

Training

This policy will be disseminated for review and questions before a policy training is given. If more time is needed outside of office hours a content specific training will be developed.

Contact Person: Assistant Director, Care Management and Health Home

Responsible Person: Health Home Service Provider

Reviewed By: Director, Care Management and Health Home

Approved By: Chief Compliance Officer

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APPENDIX A

Quality Assurance Measures for Outreach

ADULTS	
Were a variety of methods used to connect with the client, if needed?	☐ Yes ☐No ☐ N/A
Where Outreach attempts active and progressive in nature in order to connect with the member?	☐ Yes ☐ No ☐ N/A
If the member was not located during Outreach, did the CMA utilized the MCO to help support Outreach efforts?	☐ Yes ☐ No ☐ N/A
If the individual was found ineligible for Health Home was the Notice of Denial issued DOH-5236?	☐ Yes ☐ No ☐ N/A

CHILDREN	
Were a variety of methods used to connect with the Parent / Guardian, if needed?	☐ Yes ☐No ☐ N/A
Were Outreach efforts progressive in nature to connect with the Parent / Guardian, if needed?	☐ Yes ☐ No ☐ N/A
If Outreach was unsuccessful did the CMA utilize the MCO to help support Outreach efforts?	☐ Yes ☐ No ☐ N/A
Was the referral source contacted, to discuss the results of Outreach?	☐ Yes ☐ No
If the child/youth was found ineligible for Health Home was the Notice of Denial issued: DOH-5236?	☐ Yes ☐ No ☐ N/A